

Memorandum



Metropolitan Transportation Authority

State of New York

September 2, 2021

Mr. Rahul Jain
Office of the State Comptroller
59 Maiden Lane, 29th Floor
New York, New York 10038

Dear Mr. Jain,

This represents the MTA's Second Quarter, 2021, report concerning the status of its Agency Savings Actions (ASA's) initiatives, initiated in the 2021 February Financial Plan. The MTA is required by the NY State Comptroller's Regulation 4, Section 202.5 (c). to report "each quarter, until implemented or rescinded, the status of each gap-closing initiative with a projected value equal to or greater than \$1 million in any given fiscal year, including milestones, impact on staffing, current implementation status, actual savings or revenues to date and projected annual savings or revenues in comparison to Budget and Plan projections." In this report, the MTA is reporting on all savings initiatives, no matter the projected value.

Attached is a summary of the results of the 2nd Quarter ASA Monitoring Program for 2021, as well as copies of the ASA Monitoring Milestone Reports. The summary shows that the MTA will track gap closing initiatives with an annual value of \$613.2 million with a reduction of 413 positions. Actual 2nd Quarter savings of \$283.0 million represents 76.4% of the second quarter goal and 35.2% of the planned annual savings, with a reduction of 412 positions.

If you have any questions, please do not hesitate to call.

Sincerely,

A handwritten signature in black ink, appearing to read "DKeller".

David Keller
Director, Consolidated Analysis, Office of Management & Budget

cc: Janno Lieber
L. Schwartz
B. Foran
Jai Patel
M. Young
K. DeDonno
R. Perricelli
K. Leopold
L. Liberto (OSDC)

Metropolitan Transportation Authority
2nd Quarter 2021 Year-To-Date
ASA Monitoring Summary
Combined 2021 (results through June)
(\$ in millions)

MTA Agencies	2021 ASA's Monitored * (Full Year)		2nd Quarter Results (ytd)				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2021 Implemented at end of Quarter (%)
New York City Transit **	413	383.457	169.676	104.553	(65.123)	61.6%	27.3%
Long Island Rail Road	0	52.801	27.419	26.363	(1.056)	96.1%	49.9%
Metro-North Railroad	0	44.723	20.699	20.066	(0.633)	96.9%	44.9%
MTA Bridges & Tunnels	0	29.129	14.013	14.013	0.000	100.0%	48.1%
MTA Headquarters	0	103.114	51.161	51.161	0.000	100.0%	49.6%
MTA CONSOLIDATED	413	613.225	282.968	216.156	(66.812)	76.4%	35.2%

* The value of the monitored PEGs reflects re-estimates captured through the February 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
2nd Quarter 2021 Year-To-Date
ASA Monitoring Summary
2021 Overtime Spending Reduction (results through June)
(\$ in millions)

MTA Agencies	2021 ASA's Monitored *		"Planned" Savings				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2021 Implemented at end of Quarter (%)
New York City Transit **	0	176.675	88.338	47.200	(41.137)	53.4%	26.7%
Long Island Rail Road	0	20.837	12.267	11.212	(1.056)	91.4%	53.8%
Metro-North Railroad	0	11.683	6.048	5.414	(0.633)	89.5%	46.3%
MTA Bridges & Tunnels	0	4.677	2.339	2.339	0.000	100.0%	50.0%
MTA Headquarters	0	0.449	0.225	0.225	0.000	100.0%	50.0%
MTA CONSOLIDATED	0	214.321	109.215	66.389	(42.827)	60.8%	31.0%

* The value of the monitored PEGs reflects re-estimates captured through the February 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
2nd Quarter 2021 Year-To-Date
ASA Monitoring Summary
2021 Consulting Contract Reduction ASA's (results through June)
(\$ in millions)

MTA Agencies	2020 ASA's Monitored *		"Planned" Savings				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2020 Implemented at end of Quarter (%)
New York City Transit **	0	8.604	4.302	2.887	(1.415)	67.1%	33.6%
Long Island Rail Road	0	3.693	1.185	1.185	0.000	100.0%	32.1%
Metro-North Railroad	0	2.487	1.243	1.243	0.000	100.0%	50.0%
MTA Bridges & Tunnels	0	8.388	3.642	3.642	0.000	100.0%	43.4%
MTA Headquarters	0	83.047	41.524	41.524	0.000	100.0%	50.0%
MTA CONSOLIDATED	0	106.219	51.896	50.481	(1.415)	97.3%	47.5%

* The value of the monitored PEGs reflects re-estimates captured through the February 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
2nd Quarter 2021 Year-To-Date
ASA Monitoring Summary
2021 Non-Personnel Expense Reduction ASA's (results through June)
(\$ in millions)

MTA Agencies	2021 ASA's Monitored *		"Planned" Savings				
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	% of 2021 Implemented at end of Quarter (%)
New York City Transit **	0	151.826	53.861	31.401	(22.459)	58.3%	20.7%
Long Island Rail Road	0	28.270	13.967	13.967	0.000	100.0%	49.4%
Metro-North Railroad	0	30.553	13.408	13.408	0.000	100.0%	43.9%
MTA Bridges & Tunnels	0	16.064	8.032	8.032	0.000	100.0%	50.0%
MTA Headquarters	0	19.618	9.413	9.413	0.000	100.0%	48.0%
MTA CONSOLIDATED	0	246.332	98.681	76.222	(22.459)	77.2%	30.9%

* The value of the monitored PEGs reflects re-estimates captured through the February 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

**Metropolitan Transportation Authority
2nd Quarter 2021 Year-To-Date
ASA Monitoring Summary**

BRPs that are not captured under the ASA Initiative (results through June)

(\$ in millions)

MTA Agencies	2021 ASA's Monitored *		"Planned" Savings				% of 2021 Implemented at end of Quarter
	Pos	(\$)	"Planned" Savings (\$)	"Realized" Savings (\$)	Variance Fav/(Unfav) (\$)	"Realized" Savings as % of "Planned" Savings (%)	
New York City Transit **	413	46.352	23.176	23.065	(0.111)	99.5%	49.8%
Long Island Rail Road	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
Metro-North Railroad	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA Bridges & Tunnels	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA Headquarters	0	0.000	0.000	0.000	0.000	#DIV/0!	#DIV/0!
MTA CONSOLIDATED	413	46.352	23.176	23.065	(0.111)	99.5%	49.8%

* The value of the monitored PEGs reflects re-estimates captured through the February 2021 Financial Plan

** NYCT includes savings from MTA Bus Company & Staten Island Rail

Metropolitan Transportation Authority
2021 MTA NYCT ASA Monitoring Program
2nd Quarter 2021 results

In 2021, 37 ASA's are being monitored with a full-year savings of \$383.5 million and a reduction of 413 positions.

Results through the second quarter show that \$104.6 million or 61.6% of the planned ASA reductions of \$169.7 million was realized and 412 positions were reduced. Additionally, 27.3% of the planned full-year savings has been realized.

NYCT also changed the methodology in reporting, necessitating an update of the 1st Quarter results.

The following ASA's did not reach the targeted savings for the quarter:

- NYCT OT-2
 - Reduce Weather-Related Overtime
 - Extreme weather events in the 1st & 2nd resulted in unfavorable variance against budget.
- NYCT OT-4
 - Reduce Overtime Costs for Department of Buses
 - Unfavorable results due to vacancies and poor availability.
- NYCT OT-5
 - Reduce Overtime Costs for Department of Subways
 - Unfavorable results due to vacancies and poor availability.
- NYCT CC-2
 - DoB Facility & Bus Maintenance Reduction
 - Due to All Electric Bus expenses mischarges, to be reclassify to appropriate account in July.
- NYCT CC-4
 - Reduce Bus Network Redesign Project
 - More than anticipated spending in bus network redesign project
- NYCT NP-1
 - Car Inspections for R142/R160
 - Positions have been eliminated from the budget; incumbents will be reduced via attrition.
- NYCT NP-5
 - DoB Technology System Maintenance Reduction
 - Unfavorable results due to bus antenna upgrades and violation processing fees paid to NYCDOT associated with the Automated Bus Lane Enforcement (ABLE) program.
- NYCT NP-14
 - Reduce Bus Network Redesign Project
 - More than anticipated spending in bus network redesign project.
- NYCT NP-15
 - Reduce Card Fees
 - Overrun in bank fees was due to increases in MetroCard sales while compounded by the restriction on cash sales at the station booths.

- NYCT NP-18
 - Reduce Materials & Supplies Budget – DoS
 - Due to more than anticipated level of maintenance material spending.
- NYCT NP-19
 - Reduce Miscellaneous OTPS
 - Unfavorable results mainly due to security services expenses.
- NYCT 17-05
 - Administrative & OTPS Savings
 - Savings not achieved mainly due to overruns in medical services and training expenses.

MTA New York City Transit
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Overtime Spending Reductions

ASA Description: 5 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	13.773	0	176.675	0	198.222	0	197.975	0	197.978	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	14.723	14.723	14.723	14.723	14.723	14.723	14.723	14.723	14.723	14.723	14.723	14.723	176.675
Actual/Proj.	14.324	(1.323)	5.773	14.248	8.129	6.049	-	-	-	-	-	-	47.200
Month Var.	(0.399)	(16.046)	(8.950)	(0.475)	(6.594)	(8.674)	(14.723)	(14.723)	(14.723)	(14.723)	(14.723)	(14.723)	
YTD Var.	(0.399)	(16.445)	(25.395)	(25.869)	(32.464)	(41.137)	-	-	-	-	-	-	(129.475)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA New York City Transit
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Consulting Contract Reduction

ASA Description: 4 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	5.190	0	8.604	0	8.725	0	7.875	0	7.625	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.717	0.717	0.717	0.717	0.717	0.717	0.717	0.717	0.717	0.717	0.717	0.717	8.604
Actual/Proj.	0.438	0.694	0.673	0.570	(0.159)	0.670	-	-	-	-	-	-	2.887
Month Var.	(0.279)	(0.023)	(0.044)	(0.147)	(0.876)	(0.047)	(0.717)	(0.717)	(0.717)	(0.717)	(0.717)	(0.717)	
YTD Var.	(0.279)	(0.302)	(0.346)	(0.493)	(1.368)	(1.415)	-	-	-	-	-	-	(5.717)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA New York City Transit
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Non-Personnel Expense Reductions

ASA Description: 21 ASAs are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	107.228	0	151.826	0	93.813	0	80.733	0.000	74.837	0.000

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	8.977	8.977	8.977	8.977	8.977	8.977	8.977	8.977	8.977	8.977	8.977	53.082	151.826
Actual/Proj.	6.534	5.812	3.204	5.892	6.097	3.862	-	-	-	-	-	-	31.401
Month Var.	(2.442)	(3.165)	(5.773)	(3.085)	(2.880)	(5.115)	(8.977)	(8.977)	(8.977)	(8.977)	(8.977)	(53.082)	
YTD Var.	(2.442)	(5.607)	(11.380)	(14.465)	(17.344)	(22.459)	-	-	-	-	-	-	(120.425)

Frequency of Update to Actuals: Quarterly

ASA Has Been Fully Implemented: No

MTA New York City Transit
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: BRPs that are not captured under the ASA Initiative or were not fully implemented

ASA Description: 7 BRPs continue to be monitored in 2021

ASA Status:

ASA VALUE: (in millions)

	2020		2021		2022		2023		2024	
		Pos.		Pos.		Pos.		Pos.		Pos.
Original Plan: Numerous	24.256	191	46.352	413	46.352	413	46.352	413	46.352	413

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	3.863	3.863	3.863	3.863	3.863	3.863	3.863	3.863	3.863	3.863	3.863	3.863	46.352
Actual/Proj.	3.826	3.826	3.826	3.863	3.863	3.863	-	-	-	-	-	-	23.065
Month Var.	(0.037)	(0.037)	(0.037)	-	-	-	(3.863)	(3.863)	(3.863)	(3.863)	(3.863)	(3.863)	
YTD Var.	(0.037)	(0.074)	(0.111)	(0.111)	(0.111)	(0.111)	-	-	-	-	-	-	(23.287)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA New York City Transit
Consulting Contract Reduction Initiatives
2nd Quarter 2021 (Actual Results through June)

Description			Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
NYCT	CC	1	Dependent Eligibility Verification Audit Savings												\$ 5.000
			Yearly												\$ 5.000
			Monthly												\$ 5.000
			Actual												\$ 2.500
			Month Var.												\$ (0.417)
YTD Var.												\$ (2.500)			
NYCT	CC	2	DoB Facility & Bus Maintenance Reduction												\$ 0.365
			Yearly												\$ 0.365
			Monthly												\$ 0.365
			Actual												\$ (0.740)
			Month Var.												\$ (0.030)
YTD Var.												\$ (1.105)			
NYCT	CC	4	Reduce Bus Network Redesign Project												\$ 0.379
			Yearly												\$ 0.379
			Monthly												\$ 0.379
			Actual												\$ (0.303)
			Month Var.												\$ (0.032)
YTD Var.												\$ (0.682)			
NYCT	CC	5	Reduce Miscellaneous OTPS												\$ 2.860
			Yearly												\$ 2.860
			Monthly												\$ 2.860
			Actual												\$ 1.430
			Month Var.												\$ (0.238)
YTD Var.												\$ (1.430)			
Consulting Consolidated			Yearly												\$ 8.604
			Monthly												\$ 8.604
			Actual												\$ 2.887
			Month Var.												\$ (0.717)
			YTD Var.												\$ (5.717)

Metropolitan Transportation Authority
2021 MTA LIRR ASA Monitoring Program
2nd Quarter 2021 results

In 2021, 16 ASA's are being monitored with a full-year savings of \$52.8 million and a reduction of 0 positions.

Results through the second quarter show that \$26.4 million or 96.1% of the planned ASA reductions of \$27.4 million was realized and 0 positions were reduced. Additionally, 49.9% of the planned full-year savings has been realized.

The following ASA's did not reach the yearly targeted savings through the 2nd quarter:

- LIRR OT-4
 - Reduce Weather-Related Overtime
 - Due to multiple snowstorms in February, The LIRR did not achieve the projected 50% reduction in weather overtime in the first quarter. Since the LIRR has already expended 92% of its full year weather overtime budget, it is not anticipated that the full year ASA will be achieved.
- LIRR OT-5
 - Reduce the amount of vacancy/absentee coverage in the Stations and Equipment departments.
 - Due to employees being sick with COVID and in addition the labor agreement to suspend points for use of sick days (to encourage employees to call in sick if not feeling well), the LIRR missed the first quarter target for reducing absentee coverage. With COVID rates declining and the reinstatement of all labor agreements regarding sick days and the requirement of doctor notes, it is still anticipated that the full year ASA will be achieved. Either by improved availability or other OT reduction initiatives.

MTA Long Island Railroad
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Overtime Spening Reductions

ASA Description: 5 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	4.507	0	20.837	0	22.237	0	22.249	0	21.925	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	3.012	2.571	2.543	1.447	1.348	1.346	1.396	1.367	1.406	1.367	1.399	1.635	20.837
Actual/Proj.	2.628	1.845	2.159	1.804	1.814	0.962	-	-	-	-	-	-	11.212
Month Var.	(0.384)	(0.726)	(0.384)	0.357	0.466	(0.384)	(1.396)	(1.367)	(1.406)	(1.367)	(1.399)	(1.635)	
YTD Var.	(0.384)	(1.110)	(1.495)	-	-	-	-	-	-	-	-	-	(9.625)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Long Island Railroad
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Consulting Services Reductions

ASA Description: 2 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

		2020		2021		2022		2023		2024	
		\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan:	Nov-20	1.004	0	3.693	0	3.724	0	3.847	0	3.960	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.197	0.197	0.197	0.197	0.197	0.197	0.197	0.197	0.197	0.197	0.197	1.521	3.693
Actual/Proj.	0.197	0.197	0.197	0.197	0.197	0.197	-	-	-	-	-	-	1.185
Month Var.	-	-	-	-	-	-	(0.197)	(0.197)	(0.197)	(0.197)	(0.197)	(1.521)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(2.509)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Long Island Railroad
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Non-Personnel Expense Reductions

ASA Description: 9 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

		2020		2021		2022		2023		2024	
		\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan:	Nov-20	0.439	0	28.270	53	27.898	53	27.989	53	28.393	53

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	2.316	2.345	2.330	2.316	2.316	2.345	2.330	2.316	2.330	2.339	2.349	2.489	28.120
Actual/Proj.	2.316	2.345	2.330	2.316	2.316	2.345	-	-	-	-	-	-	13.967
Month Var.	-	-	-	-	-	-	(2.330)	(2.316)	(2.330)	(2.339)	(2.349)	(2.489)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(14.153)

Frequency of Update to Actuals: Quarterly
 PEG Has Been Fully Implemented: No

**Metropolitan Transportation Authority
2021 MTA MNR ASA Monitoring Program
2nd Quarter 2021 results**

In 2021, 13 ASA's are being monitored with a full-year savings of \$44.7 million and a reduction of 0 positions.

Results through the second quarter show that \$20.1 million or 96.9% of the planned ASA reductions of \$20.7 million was realized and 0 positions were reduced. Additionally, 44.9% of the planned full-year savings has been realized.

All ASA's met the targeted savings for the quarter, except:

- MNR OT-3
 - Reduction in Overtime Assigned to Prepare/Respond to Weather Events
 - Severe winter storms as well as several other significant weather events in February requiring service restoration and clean-up by Maintenance of Way forces.

MTA Metro-North Railroad
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Overtime Spening Reductions

ASA Description: 4 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	4.935	0	11.683	0	12.030	0	12.292	0	12.559	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	1.256	1.332	1.303	0.751	0.704	0.702	0.837	0.788	0.720	0.801	0.796	1.694	11.683
Actual/Proj.	1.256	0.699	1.303	0.751	0.704	0.702	-	-	-	-	-	-	5.414
Month Var.	-	(0.633)	-	-	-	-	(0.837)	(0.788)	(0.720)	(0.801)	(0.796)	(1.694)	
YTD Var.	-	(0.633)	(0.633)	(0.633)	(0.633)	(0.633)	-	-	-	-	-	-	(6.269)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Metro-North Railroad
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Consulting Services Reductions

ASA Description: 3 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

		2020		2021		2022		2023		2024	
		\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan:	Nov-20	1.242	0	2.487	0	2.524	0	2.563	0	2.604	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.207	0.207	0.207	0.207	0.207	0.207	0.207	0.207	0.207	0.207	0.207	0.207	2.487
Actual/Proj.	0.207	0.207	0.207	0.207	0.207	0.207	-	-	-	-	-	-	1.243
Month Var.	-	-	-	-	-	-	(0.207)	(0.207)	(0.207)	(0.207)	(0.207)	(0.207)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(1.243)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Metro-North Railroad
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Non-Personnel Expense Reductions

ASA Description: 6 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

		2020		2021		2022		2023		2024	
		\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan:	Nov-20	21.141	0	30.553	0	29.686	0	29.563	0.000	29.533	0.000

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	2.235	2.235	2.235	2.235	2.235	2.235	2.235	2.235	2.235	2.235	2.235	4.322	28.903
Actual/Proj.	2.235	2.235	2.235	2.235	2.235	2.235	-	-	-	-	-	-	13.408
Month Var.	-	-	-	-	-	-	(2.235)	(2.235)	(2.235)	(2.235)	(2.235)	(4.322)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(15.495)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

**MTA Metro-North Railroad
Overtime Spending Reduction Initiatives
2nd Quarter 2021 (Actual Results through June)**

Description			Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total		
MNR	OT	1	Maintain the June 2020 East of Hudson Reduced Service Schedule	Yearly											\$ 7.619		
			Monthly	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 7.619
			Actual	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635	\$ 0.635							\$ 3.810
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.635)	\$ (0.635)	\$ (0.635)	\$ (0.635)	\$ (0.635)	\$ (0.635)	
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							
MNR	OT	2	Overtime Reductions for Vacancy/Absentee Coverage	Yearly											\$ 0.352		
			Monthly	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.352	
			Actual	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029	\$ 0.029							\$ 0.176
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.029)	\$ (0.029)	\$ (0.029)	\$ (0.029)	\$ (0.029)	\$ (0.029)	
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							
MNR	OT	3	Reduction in Overtime Assigned to Prepare/Respond to Weather Events	Yearly											\$ 3.296		
			Monthly	\$ 0.557	\$ 0.633	\$ 0.604	\$ 0.052	\$ 0.005	\$ 0.003	\$ 0.138	\$ 0.089	\$ 0.021	\$ 0.102	\$ 0.097	\$ 0.995	\$ 3.296	
			Actual	\$ 0.557	\$ -	\$ 0.604	\$ 0.052	\$ 0.005	\$ 0.003								\$ 1.221
			Month Var.	\$ -	\$ (0.633)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.138)	\$ (0.089)	\$ (0.021)	\$ (0.102)	\$ (0.097)	\$ (0.995)	
			YTD Var.	\$ -	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.633)							
MNR	OT	4	Tighter Control and Prioritization of Expenses	Yearly											\$ 0.417		
			Monthly	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.417	
			Actual	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035	\$ 0.035							\$ 0.208
			Month Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.035)	\$ (0.035)	\$ (0.035)	\$ (0.035)	\$ (0.035)	\$ (0.035)	
			YTD Var.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							
Overtime Consolidated			Yearly												\$ 11.683		
			Monthly	\$ 1.256	\$ 1.332	\$ 1.303	\$ 0.751	\$ 0.704	\$ 0.702	\$ 0.837	\$ 0.788	\$ 0.720	\$ 0.801	\$ 0.796	\$ 1.694	\$ 11.683	
			Actual	\$ 1.256	\$ 0.699	\$ 1.303	\$ 0.751	\$ 0.704	\$ 0.702	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5.414
			Month Var.	\$ -	\$ (0.633)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (0.837)	\$ (0.788)	\$ (0.720)	\$ (0.801)	\$ (0.796)	\$ (1.694)	
			YTD Var.	\$ -	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.633)	\$ (0.633)							

MTA Metro-North Railroad
Non-Personnel Expense Reduction Initiatives
2nd Quarter 2021 (Actual Results through June)

Description			Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total				
MNR	NP	1	Advertising Reduction												\$	0.549			
			Yearly																
			Monthly	\$	0.046	\$	0.046	\$	0.046	\$	0.046	\$	0.046	\$	0.046	\$	0.046	\$	0.549
			Actual	\$	0.046	\$	0.046	\$	0.046	\$	0.046	\$	0.046	\$	0.046	\$	0.046	\$	0.275
			Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.046)
YTD Var.												\$	-						
MNR	NP	2	Continuous Work Platform (CWP) Lease												\$	1.507			
			Yearly																
			Monthly	\$	0.126	\$	0.126	\$	0.126	\$	0.126	\$	0.126	\$	0.126	\$	0.126	\$	1.507
			Actual	\$	0.126	\$	0.126	\$	0.126	\$	0.126	\$	0.126	\$	0.126	\$	0.126	\$	0.754
			Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.126)
YTD Var.												\$	-						
MNR	NP	3	Fleet Material & Supplies												\$	0.136			
			Yearly																
			Monthly	\$	0.011	\$	0.011	\$	0.011	\$	0.011	\$	0.011	\$	0.011	\$	0.011	\$	0.136
			Actual	\$	0.011	\$	0.011	\$	0.011	\$	0.011	\$	0.011	\$	0.011	\$	0.011	\$	0.068
			Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.011)
YTD Var.												\$	-						
MNR	NP	4	Maintain the June 2020 East of Hudson Reduced Service Schedule												\$	17.698			
			Yearly																
			Monthly	\$	1.475	\$	1.475	\$	1.475	\$	1.475	\$	1.475	\$	1.475	\$	1.475	\$	17.698
			Actual	\$	1.475	\$	1.475	\$	1.475	\$	1.475	\$	1.475	\$	1.475	\$	1.475	\$	8.849
			Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(1.475)
YTD Var.												\$	-						
MNR	NP	5	Tighter Control and Prioritization of Expenses												\$	6.925			
			Yearly																
			Monthly	\$	0.577	\$	0.577	\$	0.577	\$	0.577	\$	0.577	\$	0.577	\$	0.577	\$	6.925
			Actual	\$	0.577	\$	0.577	\$	0.577	\$	0.577	\$	0.577	\$	0.577	\$	0.577	\$	3.463
			Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(0.577)
YTD Var.												\$	-						
MNR	NP	6	Procurement Savings - Saving resulting from the cancellation of inventory purchase orders as well as the reduction of uniform, medical and insurance costs.												\$	2.087			
			Yearly																
			Monthly	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	2.087
			Actual	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
			Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(2.087)
YTD Var.												\$	-						
Non-Personnel Consolidated			Yearly												\$	28.903			
			Monthly	\$	2.235	\$	2.235	\$	2.235	\$	2.235	\$	2.235	\$	2.235	\$	2.235	\$	4.322
			Actual	\$	2.235	\$	2.235	\$	2.235	\$	2.235	\$	2.235	\$	2.235	\$	2.235	\$	13.408
			Month Var.	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	(2.235)
			YTD Var.												\$	-			

**Metropolitan Transportation Authority
2021 MTA B&T ASA Monitoring Program
2nd Quarter 2021 results**

In 2021, 32 ASA's are being monitored with a full-year savings of \$29.1 million and a reduction of 0 positions.

Results through the second quarter show that \$14.0 million or 100% of the planned ASA reductions of \$14.0 million was realized and 0 positions were reduced. Additionally, 48.1% of the planned full-year savings has been realized.

All ASA's met the targeted savings for the quarter.

MTA Bridges & Tunnels
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Overtime Spening Reductions

ASA Description: 1 ASA is being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	5.322	0	4.677	0	4.766	0	4.823	0	4.911	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.390	0.390	0.390	0.390	0.390	0.390	0.390	0.390	0.390	0.390	0.390	0.390	4.677
Actual/Proj.	0.390	0.390	0.390	0.390	0.390	0.390	-	-	-	-	-	-	2.339
Month Var.	-	-	-	-	-	-	(0.390)	(0.390)	(0.390)	(0.390)	(0.390)	(0.390)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(2.339)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Bridges & Tunnels
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Consulting Services Reductions

ASA Description: 13 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	4.034	0	8.388	0	6.380	0	3.864	0	3.806	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.548	0.548	0.548	0.667	0.667	0.667	0.667	0.667	0.667	0.785	0.785	1.176	8.388
Actual/Proj.	0.548	0.548	0.548	0.667	0.667	0.667	-	-	-	-	-	-	3.642
Month Var.	-	-	-	-	-	-	(0.667)	(0.667)	(0.667)	(0.785)	(0.785)	(1.176)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(4.746)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Bridges & Tunnels
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Non-Personnel Expense Reductions

ASA Description: 18 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	9.882	0	16.064	0	12.810	0	11.641	0.000	11.502	0.000

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	1.339	1.339	1.339	1.339	1.339	1.339	1.339	1.339	1.339	1.339	1.339	1.339	16.064
Actual/Proj.	1.339	1.339	1.339	1.339	1.339	1.339	-	-	-	-	-	-	8.032
Month Var.	-	-	-	-	-	-	(1.339)	(1.339)	(1.339)	(1.339)	(1.339)	(1.339)	
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(8.032)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

**Metropolitan Transportation Authority
2021 MTA HQ ASA Monitoring Program
2nd Quarter 2021 results**

In 2021, 25 ASA's are being monitored with a full-year savings of \$103.1 million and a reduction of 0 positions.

Results through the second quarter show that \$51.3 million or 100% of the planned ASA reductions of \$51.2 million was realized and 0 positions were reduced. Additionally, 49.6% of the planned full-year savings has been realized.

All ASA's met the targeted savings for the quarter.

MTA Headquarters
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Overtime Spening Reductions

ASA Description: 1 ASA is being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

	2020		2021		2022		2023		2024	
	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan: Nov-20	0.283	0	0.449	0	0.466	0	0.483	0	0.483	0

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	0.037	0.037	0.037	0.037	0.037	0.037	0.037	0.037	0.037	0.037	0.037	0.037	0.449
Actual/Proj.	0.037	0.037	0.037	0.037	0.037	0.037	-	-	-	-	-	-	0.225
Month Var.	-	-	-	-	-	-	(0.037)	(0.037)	(0.037)	(0.037)	(0.037)	(0.037)	-
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(0.225)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Headquarters
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Consulting Services Reductions

ASA Description: 6 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

		2020		2021		2022		2023		2024	
		\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan:	Nov-20	46.697	0	83.047	0	30.170	0	18.630	0	30.260	0

CRITICAL TASKS & MILESTONES:		Planned Date	Revised Date	Actual Date
11/1/2020	Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	6.921	6.921	6.921	6.921	6.921	6.921	6.921	6.921	6.921	6.921	6.921	6.921	83.047
Actual/Proj.	6.921	6.921	6.921	6.921	6.921	6.921	-	-	-	-	-	-	41.524
Month Var.	-	-	-	-	-	-	(6.921)	(6.921)	(6.921)	(6.921)	(6.921)	(6.921)	-
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(41.524)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

MTA Headquarters
2021 ASA Monitoring Milestone Report
2nd Quarter 2021 (Actual Results through June)

ASA Name: Non-Personnel Expense Reductions

ASA Description: 18 ASA's are being monitored in 2021

ASA Status:

ASA VALUE: (\$ in millions)

		2020		2021		2022		2023		2024	
		\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.	\$	Pos.
Original Plan:	Nov-20	16.682	0	19.618	0	16.539	0	14.107	0.000	14.181	0.000

CRITICAL TASKS & MILESTONES:	Planned Date	Revised Date	Actual Date
11/1/2020 Identify Savings			

MONTHLY CASH SAVINGS: (\$ in millions)													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Plan	1.569	1.569	1.569	1.569	1.569	1.569	1.569	1.569	1.569	1.569	1.569	2.361	19.618
Actual/Proj.	1.569	1.569	1.569	1.569	1.569	1.569	-	-	-	-	-	-	9.413
Month Var.	-	-	-	-	-	-	(1.569)	(1.569)	(1.569)	(1.569)	(1.569)	(2.361)	-
YTD Var.	-	-	-	-	-	-	-	-	-	-	-	-	(10.205)

Frequency of Update to Actuals: Quarterly
 ASA Has Been Fully Implemented: No

